DENSITY FUNCTIONAL THEORY STUDY OF VACANCY INDUCED MAGNETISM IN Li$_3$N

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Abstract. The effect of lithium vacancies in the hexagonal structure of α—Li$_3$N, is studied within the framework of density functional theory. Vacancies (□) substituting for lithium in α-Li$_3$N are treated within the coherent potential approximation as alloy components. According to our results long range N(p)-ferromagnetism (∼1 μ$_B$) sets in for vacancy concentration (x ≥ 0.7), within the Li(2)$_{2-x}$□$_x$Li(1)N with no significant change in unit cell dimensions. By total energies differences we established that in-plane exchange couplings are dominant. Vacancies substituting inter-plane Li, leads to a considerable structural collapse (c/a ≈ 0.7) and no magnetic moment formation.

1. INTRODUCTION

Lithium nitride (Li$_3$N) is the only known stable alkali metal nitride. At ambient conditions it crystallizes in the hexagonal $P6/mmm$ structure (α—Li$_3$N) seen in Fig. 1. The structure consists of alternating Li and Li$_2$N layers [1, 2]. The basal ab-plane contains edge sharing planar Li hexagons centred by nitrogen. These planes are connected through a further lithium ion along the c-axis located directly on top of the N. Each nitrogen is coordinated by eight lithium atoms in a hexagonal bipyramid geometry. Within the Li$_2$N layers (ab-planes) the atoms are close-packed, while the Li layers form an open structure. In the following the Li above the N atom will be denoted as Li(1) and the Li atoms within the Li$_2$N layers will be denoted as Li(2), see Fig. 1. It is known that the bonding in this material is mainly ionic, with the three lithium atoms donating their 2s electrons to the nitrogen, resulting in Li$^+$ ions and a N$^{3−}$ ion [3]. Lithium nitride is a common material used in the solid-state battery technology [2, 4]: the indirect band gap is about 2.1 eV [5] and the material has exceptionally high Li$^+$ ion mobility [2], which arises from the cationic vacancies within the [Li$_2$N] planes.

Sachsze and Juza [6] found that the Li(1) atom could easily be substituted by a transition metal atom. This possibility has recently generated a substantial amount...
Fig. 1 – Crystal structure of $\text{Li}_3\text{N}$. (a) The crystal is made of hexagonally close-packed layers of $\text{Li}_2\text{N}$, where the Li atoms are denoted by Li(2). These layers are alternated by Li layers, where the Li is denoted by Li(1). The Li(1) atom is placed in between the N in the $\text{Li}_2\text{N}$ layers. (b) Top view of the $\text{Li}_2\text{N}$ layer. (c) Side view showing the alternating stacking of the $\text{Li}_2\text{N}$ and Li(1) layers.

of interest due to the large magnetic moment observed at the substituted site [7–11]. This magnetic moment supersedes that of the donor transition metal element and is attributed to the absence of orbital moment quenching and to on-site Coulomb interactions [9]. These findings have led to predictions that transition metal doped $\text{Li}_3\text{N}$ might be considered for replacing rare-earth permanent magnets [10]. The magnetic behaviour associated with the presence of transition metal atoms lead us to raise the question whether magnetism is still triggered by non-magnetic atoms, or in the extreme case by vacancies, that are used as dopants in the structure of lithium nitride. In the present paper the effect of vacancy induced magnetism is studied theoretically using density functional theory (DFT) [12–14] methods.

The electronic structure of the ordered $\alpha-\text{Li}_3\text{N}$ crystal has been studied using density functional [15–18] and Hartree-Fock [19, 20] theories. Similar studies have been performed on the Li ion vacated structure [21], also using molecular dynamics [18]. None of these calculations took the spin-polarization of the charge density into account, and therefore magnetic effects were not reported previously.

Besides $\alpha-\text{Li}_3\text{N}$, two other polymorphs exist: the hexagonal $\beta-\text{Li}_3\text{N}$ stable at moderate pressure (4.2 kbar and 300 K) [22, 23] and the cubic $\gamma-\text{Li}_3\text{N}$ stable up to 200 GPa [23, 24]. It has been demonstrated that $\text{Li}_3\text{N}$ retains its ionic character through the significant structural transformation [23]. Upon pressure, the band gap is increased across the hexagonal ($\beta$) to cubic ($\gamma$) structural transition. The increase
in the magnitude of the band gap is a consequence of the loss of the lowest laying conduction band as one goes from $\beta \rightarrow \gamma$ [24]. The large (5.5 eV) gap $\gamma-Li_3N$ material shows an anomalous color, which could be due to the presence of vacancies (color centres) [24]. It is commonly found that the lithium vacancy concentration increases with doping, especially at the Li(2) sites [25]. In the extreme case of LiNiN, where full substitution of the Li(1) atom with nickel has been achieved, one of the Li(2) sites is completely vacated, creating a crystal structure with the $P6_m2$ space group [26, 27]. As expected, the presence of Ni determines the magnetic properties of LiNiN. On the other hand, in the absence of a transition metal, it is obvious to ask if $p$-orbital magnetism can be induced due to vacancies in this system.

Magnetism is most often associated with systems containing partially occupied $d$- or $f$-shells. In transition metals or rare earth elements, Hund’s exchange energy and the kinetic energy are of similar magnitude, and magnetism may appear if the Hund’s energy is large enough [28]. A similar discussion has been extended to $p$-electronic systems, containing C, N or O [29]. In order to elucidate on the effect of vacancies on total energy and magnetism, we have in this paper performed a DFT study on the electronic structure of Li$_3$N with Li atom vacancies. In our work we show that the creation of Li atom vacancies within the perfect $\alpha-Li_3N$ structure induces: (i) a volume collapse of a magnitude which depends on the symmetry position of the removed Li atom, and also (ii) magnetism, with moments centred on the N ions. In the case of a removal of the Li(1) atom, a large structural collapse occurs which leads to a vanishing magnetic moment. For a removal of Li(2) atoms the volume reduction is not drastic, and a magnetic moment is still formed. We also compute the vacancy concentration needed to form long-range magnetic ordering between the vacancy-induced N-moments in this system.

The paper is organized as follows: In Section 2 we introduce the theoretical tools, and present the computational details. Section 3 presents the calculated ground-state lattice parameters of Li$_3$N, and the effect of a complete removal of inter- and in-plane Li atoms. We also investigate the partial, non-stoichiometric, replacement of one of the in-plane Li atoms by a vacancy, employing the coherent potential approximation. In addition the band structure of Li$_3$N, with and without vacancies, and the ordering of the magnetic moments is discussed. Section 4 provides a discussion, and Section 5 gives a conclusion.

2. METHODOLOGY AND COMPUTATIONAL DETAILS

The electronic structures for all systems were obtained by Kohn-Sham density functional theory [12, 13]. Three different basis sets to represent the Kohn-Sham orbitals were used, namely the full-potential linearised augmented plane wave (FPLAPW) method as implemented in elk [30], the exact muffin-tin orbitals (EMTO)
method [31–33] and the linearised muffin-tin (LMTO) method [34]. As seen from Fig. 1, Li₃N has an open structure, with large interstitial areas in the Li(1) layer planes. To model this large interstitial region, the LMTO and EMTO methods introduce so called ‘empty spheres’ into the structure in order to improve upon the spherical potential approximation. The full potential is taken into account in the FPLAPW method.

To model the non-stoichiometric substitution of Li atoms with vacancies, we employed the coherent potential approximation (CPA) within the EMTO method. The EMTO-CPA method together with the full-charge density (FCD) [35] scheme has proven to be able to accurately determine the total energy due to anisotropic lattice distortions (e.g. hexagonal c/a-ratios) for real systems with substitutional disorder [36, 37].

For the FPLAPW calculations the plane-wave cutoff $K_{\text{max}}$ was set so that $R_{\text{mt}} K_{\text{max}} = 8.0$, where $R_{\text{mt}}$ is the smallest muffin-tin radius. The radii of the muffin tins were set to $R = 1.7$ a.u. for N, $R = 1.45$ a.u. for Li(1) and $R = 1.8$ a.u. for Li(2), and were kept fixed for all volumes and c/a-ratios considered. The irreducible Brillouin zone was sampled with 333 k-points, and a Fermi smearing function was employed at room temperature. The N 1s-state was treated as core, while the remaining states were treated as valence. The exchange correlation potential was treated within the local density approximation (LDA) [38].

The EMTO calculations were done with an angular momentum cutoff keeping the spd-states. The kink-cancellation equation was solved along a semicircular contour in the complex energy plane, with the bottom at $-1.5$ Ry below the Fermi level. In this way the contour enclosed the N 2s semicore states. The irreducible Brillouin zone was sampled with 324 k-points. Empty spheres where included at the positions $(\frac{2}{3}, \frac{1}{3}, \frac{1}{2})$ and $(\frac{1}{3}, \frac{2}{3}, \frac{1}{2})$, i.e. in the same plane as Li(1) and right above the Li(2), in order to cover the interstitial volume. The energy dependence of the slope matrix was parametrized using the two-center Taylor expansion technique [39]. The volume vs. c/a-ratio total energy contours were calculated for 5 volumes and 5 c/a-ratios, and interpolation and energy minimization were performed to find the minima.

3. RESULTS AND DISCUSSION

3.1. PERFECT Li₃N

We first optimized the volume and c/a-ratio for the perfect Li₃N. The energy landscape as given by the FPLAPW method as a function of volume and c/a-ratio can be seen in Fig. 2 (left). The FPLAPW method leads to a ground-state volume of $V_0 = 278.12$ a.u.$^3$ and $c/a = 1.070$, while the EMTO method gives a volume of $V_0 = 276.62$ a.u.$^3$ and $c/a = 1.069$. The results are in good agreement with
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Lattice optimization was also done within the FPLAPW method employing the PBE-GGA [40] for the exchange-correlation potential, which results in a slight increase in volume (6%) compared to the LDA result, and $c/a = 1.062$. Previous Hartree-Fock calculations have given values of $V_0 = 292.46$ a.u.$^3$ and $c/a = 1.0637$ (Ref. [19]). Our results can be seen to agree well with experimental (see Table 1) and previous theoretical studies. Also note that the calculations predict an insulating state for Li$_3$N, in agreement with experiment. Spin-polarized calculations were also performed, and no magnetic moment was found. Figure 3 shows contour plots of the total charge density of Li$_3$N as calculated within the EMTO method. The charge densities show good agreement compared with previous studies [15, 24]. Note the close packing within the Li$_2$N layer (left) and the large interstitial region between the layers (right).

Fig. 2 – Left: Energy map as a function of volume and $c/a$-ratio for perfect Li$_3$N, calculated with the FPLAPW method. Right: Energy map as a function of volume and $c/a$-ratio for Li(2)$_1$□$_1$Li(1)N, where the vacancy □ is of type Li(2).

Table 1

Volumes in atomic units (first rows) and $c/a$-ratios (second rows) for perfect Li$_3$N, Li(2)$_1$□$_1$Li(1)N (in-plane Li(2) vacancy) and for Li(2)$_{1.5}$□$_{0.5}$Li(1)N calculated within our methods. Comparison with experimental data is also presented.

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<td>$(c/a)$</td>
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<tr>
<td>Li(2)$<em>{1.5}$□$</em>{0.5}$Li(1)N</td>
<td>$(V_0)$</td>
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<td>$(c/a)$</td>
<td>1.065</td>
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3.2. VACANCIES WITHIN THE [Li$_2$N]-PLANE

A vacancy (denoted by □) created by removing one of the (geometrically equivalent) Li(2) atoms changes the space group to $P\bar{6}m2$. If the lattice parameters from the perfect Li$_3$N are kept, the calculations for the Li(2) vacated structure predicts a non-zero density of states at the Fermi level, indicating that the system has turned metallic. If the spin-symmetry is broken, the system turns ferromagnetic (FM) with an integer moment of 1 $\mu_B$. The underlying reasons for the onset of magnetization will be discussed in more detail in Sections 3.4 and 3.5. In order to see whether the removal of the Li(2) atom has any effect on the geometry of the structure we optimized the lattice parameters, see Fig. 2 (right). We found that the ground-state volume within EMTO reduces slightly to $V_0=252.31$ a.u.$^3$, and the $c/a$-ratio becomes 1.071. For the FPLAPW, we got $V_0=248.50$ a.u.$^3$, and the $c/a$-ratio becomes 1.141. The slight discrepancy in the $c/a$-ratio between the FPLAPW and EMTO methods could possibly be due to the spherical approximation used in the latter as also reported previously [41].

Figure 4 shows the total charge density in real space plotted within the Li$_3$N ab-plane, where a comparison with the case of the perfect Li$_3$N structure (Fig. 3 (left)) shows the vacant Li(2) positions in the lattice. The magnetization density, defined as the difference between the real space majority and minority spin density, $n_\uparrow(r) - n_\downarrow(r)$, is shown on the right hand-side of Fig. 4. Note that the magnetization density is sizeable mainly around the nitrogen ions, indicating that the spin density is highly localized around the nitrogen positions in real space.

The chemical bonding of the pure and the vacated Li$_3$N structure can be visualized by the electronic localization function (ELF) [42], presented in Fig. 5. In the
case of pure Li$_3$N (left part of Fig. 5) the bonding is ionic, as found previously [3, 17]. Ionic bonding is also seen in the vacated structure (right part of Fig. 5). In both cases, the ELF shows two maxima as one goes radially outwards from the nitrogen ions, indicating two shells. The lithium ions only show one maximum, suggesting that the outer 2s-shell electron has been mainly transferred to the nitrogen ion.

Fig. 4 – Left: Total charge density $n_\uparrow(r) + n_\downarrow(r)$ for Li$_3$N in the $ab$-plane. Spacing between contour lines is 0.01 in atomic units. Right: Magnetization density $n_\uparrow(r) - n_\downarrow(r)$ for Li$_3$N in the $ab$-plane. Calculations performed at volume $V = 281$ a.u.$^3$ ($c/a = 1.05$).

Fig. 5 – Electronic localization function (ELF) for (a) Li$_3$N and (b) Li$_2$N in the $ab$-plane. Calculations performed at volume $V = 281$ a.u.$^3$ ($c/a = 1.05$).

In order to see how the lattice parameters change as the concentration of vacancies increases, the structural disorder was modeled using the alloy analogy within the EMTO-CPA method. In this method the vacancy is treated as an ‘alloy component’ represented by an empty atomic sphere. The system thus has the chemical formula Li(2)$_{2-x}$[Li(1)N, where the vacancy is of Li(2) type. For $x = 0.5$ one-
fourth of the Li(2) atoms are vacated. The results of the lattice parameter optimization performed within DFT calculations is presented in Fig. 6 (left). The equilibrium volume is \( V_0 = 269.04 \) a.u.\(^3\) and the corresponding ratio \( c/a = 1.065 \). The EMTO results (Table 1) for the clean Li\(_3\)N gives a lattice constant \( a = 3.538 \) Å while an in-plane Li(2)-vacated lattice Li(2)\(_1\)\(\square\)\(_0\)\(_5\)Li(1)N has \( a = 3.429 \) Å. From these two limits we propose the following linear concentration dependence of the lattice parameter:

\[
a(x) = 3.429 + 0.109x \, \text{Å}
\]

For the concentration \( x = 0.5 \) our total energy optimization gives for the lattice parameter \( a \) the result: \( a = 3.483 \) Å. We note that this result agrees with the empirical Vegards-type law (linear increase of lattice constant as a function of concentration) to within 1%.

We next consider a full removal of the Li(1) atom, i.e. a complete removal of the Li(1)-plane. For the practical calculation this corresponds to a crystal structure model in which the Li(1)-plane consists of empty-spheres. The energy landscape for the volume vs. \( c/a \)-ratio can be seen in Fig. 6 (right). As the Li(1) atom is removed, the crystal becomes unstable and the \( c/a \)-ratio ‘collapses’ to \( \sim 0.7 \). The decrease in the length of the \( c \)-axis is accompanied by a reduction in unit cell volume to around 172.61 a.u.\(^3\), and consequently the spin-polarization vanishes. Due to this sizable reduction of volume, \( c/a \)-ratio and magnetic moment, further computations with Li(1) vacancies were not carried out since the results would lead to a significant departure from the structure of interest in experimental studies. Note that a full removal of the Li(1)-plane was assumed in the calculations, and a single Li(1) vacancy will probably not result in such a large reduction of the \( c/a \)-ratio, and the magnetic moment might still remain in the case of a single vacancy.
3.4. BAND STRUCTURE

Results of the band structure calculations for the systems in discussion are shown in Fig. 7. The band structure for perfect Li$_3$N, using the experimental lattice parameters, is seen in the top left of Fig. 7, and agrees well with previous theoretical studies [15, 24]. The three valence bands have mainly N-$p$ orbital character, with the $p_x$- and $p_y$-states being degenerate at certain high symmetry points/directions within the Brillouin zone. The lowest lying conduction band has mixed ($sp$) character, and its density is situated predominantly in the region in between the Li$_2$N layers, as shown in Ref. [24]. The gap ($\lesssim 1.5$ eV) underestimates the experimental one ($\approx 2.1$ eV [5]), which is a common effect seen within DFT. Band structure calculations within the PBE-GGA were also performed, and no major change in bands and band gaps were found.

As a Li(2) atom is removed from the system, the N $p$-bands become partially occupied and the chemical potential readjusts to account for the number of electrons (Fig. 7, top right). The most drastic change is a spin-splitting of the bands: one spin channel being completely occupied while the opposite one is almost rigidly shifted upwards in energy. Note that only the $p_x + p_y$-orbitals of the minority spin channel are shifted above the Fermi level. In Fig. 7 (bottom left) the results for the band structure for the perfect Li$_3$N cell with a completely removed Li(1) atom is seen. The result is similar to the case of a Li(2) vacancy, namely: one spin channel is being shifted almost rigidly upwards in energy. In the case of a Li(1) vacancy, we have identified the $p_z$-orbital that is completely shifted above the Fermi level. In addition, the structural optimization showed that the system of widely separated Li$_2$N layers are unstable, and that the structure collapses to a smaller volume and a smaller $c/a$-ratio. The band structure for the collapsed structure is shown in Fig. 7 (bottom right). In this case, the remaining N-$p_z$ bands acquire a broad dispersion in the $\Gamma - A$-direction, suppressing the magnetism. We also performed calculations for a pressurized system up to 20% of the lattice constants (both isotropic and $c$-axial compression), and the system remained metallic.

3.5. MAGNETIC ORDERING AND VACANCY CONCENTRATION

In this section we analyse the results of the electronic structure in view of the interplay between the magnetic moment formation and the vacancy concentration in Li$_3$N. In these calculations a constant volume equal to that of the Li(2)$_1$□$_1$Li(1)N structure (252.31 a.u.$^3$) and the corresponding constant $c/a$-ratio equal to 1.07 were assumed.

For vacancy concentrations $x \leq 0.5$ no ferromagnetic order was formed. For vacancy concentrations $x \geq 0.5$, the magnetic moment as a function of concentration can be seen in Fig. 8. Between 0.65 $< x < 0.70$ a ferromagnetic onset occurs,
Fig. 7 – Band structures. Top left: Perfect α-Li₃N. Top right: Majority (full lines) and minority (dashed lines) bands for α-Li₃N with one fully vacated Li(2) atom. Bottom left: Majority (full lines) and minority (dashed lines) bands for α-Li₃N with one fully vacated Li(1) atom. Evaluated at the experimental volume of perfect Li₃N. Bottom right: Bands for the volume collapsed Li₃N with fully vacated Li(1) atom.

with the magnetic moment being proportional to the vacancy concentration. At the complete vacancy substitution the moment is \( \sim 1 \mu_B \).

We plot the difference between the pure Li₃N total energy and the total energy
for the Li(2)$_{2-x} \Box_x$Li(1)N structure, in Fig. 8. The energy difference grows roughly linearly with increasing concentration, up to about $\sim 15$ Ry for the fully vacated structure, which is expected for such a substantial structural change (one fully removed Li(2) atom). For an estimate of the formation energy a supercell approach with relaxation of the atomic positions should be performed [21, 43–45], which is outside the scope of the present study.

In order to determine the ground state magnetic order present in the system, several calculations were performed assuming different antiferromagnetic (AFM) structures, as presented in Fig. 9. The antiferromagnetic supercells were calculated with the LMTO-ASA method [34]. Two configurations were investigated, see Fig. 9. One configuration with antiferromagnetic ordering within the basal $ab$–plane (AFI, Fig. 9 (left)), and one where the moments between the basal planes couple antiferromagnetically (AFII, Fig. 9 (right)).

$$\text{Li(2)$_{2-x} \Box_x$Li(1)N}$$

Fig. 8 – Magnetic moment as function of concentration. Circles are calculated values, the solid lines are guides to the eye. Inset: Total energy difference $\Delta E$ between Li$_3$N and Li(2)$_{2-x} \Box_x$Li(1)N as a function of concentration $0 \leq x \leq 1$.

For all studied AF structures, the total energies were higher than that of the FM solution (magnetic ground state configuration), but lower than the non-magnetic (NM) solution, $E_{FM} < E_{AFM} < E_{NM}$. For the AFM ordering with the moments alternating within the $ab$–plane (AFI, Fig. 9, left), the energy differences with respect to the ferromagnetic ground state is estimated to be $|\Delta E_{FM-AFM}| = 2.4$ mRy/atom
The non-magnetic state is situated at $|\Delta E_{FM-NM}| = 2.6$ mRy/atom ($\approx 400$ K) above the ferromagnetic groundstate. For the AFM ordering with the moments alternating between consecutive layers (AFII, Fig. 9, right) the energy difference was $|\Delta E_{FM-AFM}| = 0.24$ mRy ($\approx 40$ K), an order of magnitude smaller than the AFI configuration. Hence, the magnetic coupling between neighbouring Li$_2$N layers can be considered negligible compared to the intralayer coupling.

4. DISCUSSION

Our results based on the DFT calculations show that the complete removal of one Li atom (in plane or between planes) triggers the magnetic moment formation, which is a consequence of the partial occupation of the N $p$–orbitals. In the case of a full removal of the (out-of-plane) Li(1) atom, the crystal structure is unstable and would undergo a large reduction in volume. If one of the geometrically equivalent Li(2) atoms is removed, the volume reduction is less drastic. To our best knowledge no experimental studies exist in which the full Li(2) vacancy has been achieved. On the other hand, in the LiNiN structure [26, 27] one of the Li(2) positions has been vacated and at the same time the Li(1) atom has been fully substituted by Ni. In this case, as expected the Ni $d$–bands are situated at the Fermi level, and thus the problem gains complexity, since correlation physics has to be included. Such calculations are outside the scope of the present study but shall be considered in the future.

In our Li(2) vacated structure, the N binds 3 lithium ions within the basal plane. A similar local environment around the nitrogen is realized in the basal plane of
\( \beta \)-Li\(_3\)N, which can be reached from \( \alpha \)-Li\(_3\)N by applying modest pressure (\( \sim 0.5 \) GPa) [23]. At this values of pressure, three of the six bonds of \( \alpha \)-Li\(_3\)N are broken leading to 3 Li nearest neighbours around the N atom.

From our CPA calculations, the vacancy concentration leading to moment formation can be estimated. Early studies showed that the natural vacancy concentration of Li\(_3\)N is about 1 – 2% at the Li(2) positions, and that this does not change significantly with increasing temperature [46]. On the other hand, vacancies can also be created in a non-equilibrium situation, similarly to the one existing in battery cells where a voltage has been applied. The ionic conductivity will then remove Li from the system, and a larger concentration of vacancies may be achieved. Therefore, further experimental studies of magnetic properties as a function of vacancy concentration are desired to verify our theoretical estimations presented in this paper.

5. CONCLUSIONS

In this work we have performed density functional theory calculations for Li vacancies in \( \alpha \)-Li\(_3\)N. Assuming unchanged hexagonal crystal symmetry, and a complete removal of a Li(1) atom we showed by lattice relaxation that the unit cell volume and \( c/a \) – ratio will be drastically reduced and no magnetic moment is formed. By vacating the in-plane Li(2) atom for amounts larger than \( \geq 70\% \) we predict that \( \alpha \)-Li\(_3\)N can be turned metallic and ferromagnetic. The removal of a Li(2) atom will cause a less drastic structural reduction, and a magnetic ground state sets in with an ordered ferromagnetic moment of 1 \( \mu_B \).

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